PTO/SB/21 (08-03) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/777,842 Filing Date TRANSMITTAL 2/12/04 **FORM** First Named Inventor Seiler et al. Art Unit 2321 (to be used for all correspondence after initial filing) Examiner Name TBD Attorney Docket Number 00100.02.0039 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Drawing(s) to Group Fee Transmittal Form Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final **Provisional Application** Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Identify below): **Extension of Time Request** IDS Cover Sheet; Return receipt Request for Refund **Express Abandonment Request** postcard CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Timothy J. Bechen Reg. No. 48,126 Individual name Signature

CERTIFICATE OF TRANSMISSION/MAILING

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Typed or printed name Karenina Oliver Date 5/17/04 Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date

5/17/04





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Seiler et al. Examiner: N/A Serial No.: 10/777,842 Art Unit: 2621

Filing Date: February 12, 2004 Our File No.: 00100.02.0039 Confirmation No.: 5902 Docket No.: 00100.02.0039

Title: APPEARANCE DETERMINATION USING FRAGMENT REDUCTION

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

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05/17/04
Date

Karenina Oliver

INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE WITH 37 CFR §§ 1.97(C) AND 1.98

Pursuant to 37 CFR §§ 1.97(C) and 1.98, Applicant respectfully submits the following statement consisting of:

- 1. A list of documents;
- 2. General remarks.

Pursuant to USPTO OG Notice of August 5, 2003, copies of the U.S. Patent Applications are not included herewith.

1. <u>Documents</u>

U.S. Patent No. 6,157,365 Callway 12/05/00;

U.S. Patent No. 6,232,979 B1 Schochet 05/15/01;

U.S. Patent No. 6,108,007 Shochet 08/22/00;

U.S. Patent No. 5,977,977 Kajiya et al. 11/02/99; and

U.S. Patent No. 6,501,483 Wong et al. 12/31/02.

2. Remarks

The submission of the above document is not an admission that the information is prior art, analogous or otherwise material. It is respectfully requested that the above-listed document be considered and made of record in the present application.

Respectfully submitted,

Date: May 17, 2004

Timothy J. Becken

Registration No. 48,126

VEDDER, PRICE, KAUFMAN & KAMMHOLZ, P.C. 222 N. LaSalle Street Chicago, IL 60601 (312) 609-7870

FAX: (312) 609-5005

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TRANE Substitute for form 1449/PTO

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/777,842		
Filing Date	2/12/04		
First Named Inventor	Seiler et al.		
Art Unit	2621		
Examiner Name	TBD		
Attorney Docket Number	00100 02 0039		

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 6,157,365	12/05/00	Callway	
		US- 6,232,979 B1	05/15/01	Schochet	
		US- 6,108,007	08/22/00	Shochet	
		^{US-} 5,977,977	11/02/99	Kajiya et al.	
		^{US-} 6,501,483	12/31/02	Wong et al.	
		US-			
		US-		*	
 		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T
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Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.